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Synchronized biphotonic process triggering C—C coupling catalytic reactions



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ARTICLE INFO

Keywords: Visible light Electron transfer catalysis Metal-free photocatalysts C–C coupling Continuous-flow

ABSTRACT

Activation of aryl(Ar)-halides for C–C coupling catalytic reactions using visible light has become one of the most challenging tasks in organic synthesis since it offers effective and safer alternatives to traditional dehalogenation protocols. The insufficient energy provided by visible light to cleave such strong C–H alogen bonds certainly makes necessary the development of new protocols to overcome this limitation. We report here the application of photon upconversion (UC) technology based on triplet-triplet annihilation (TTA) to a C–C coupling catalytic reaction, a possibility that has not been investigated to date. This synchronized biphotonic process (TTA-UC) activates successfully Ar-halides with visible light. Based on product analysis and spectroscopic experiments, a cascade process combining photophysical and photochemical steps is proposed for the mechanism rationalization. Visible light, ambient temperature and pressure, low-loading metal-free photocatalysts and no additives make this protocol very attractive for applications to the synthesis of fine chemical building blocks, pharmaceuticals, agrochemicals or new materials.

1. Introduction

Selective formation of C-C bonds using visible light (VIS) is currently at the heart of organic synthesis and holds the key to the construction of structural complexity and diversity of fine chemical, bioactive, natural, and polymeric molecules [1-7]. Such photocatalytic processes imply activated substrates that undergo facile generation of radical carbon atoms either proximal to heteroatom substituents (carbonyls, imines/enamines, malonates, etc.) or to aryl moieties [8-12], whereas the utilization of non-activated substrates has received much less attention [13-15]; their activation using VIS is currently a significantly more challenging task but would provide more effective and safer alternatives to traditional dehalogenation protocols [16,17]. In general, the energy of a visible photon edges the scope of photocatalytic bond activations [18-20], delivering insufficient energy for stronger bonds splitting such as those found in aryl(Ar)-halides [21-25]. Thus, new procedures capable of overcoming this issue would be useful to deal with this limitation.

Photon upconversion (UC) based on triplet-triplet annihilation (TTA) is one of the most attractive wavelength conversion technologies. Its interest has been intensified in the last decade due to the employment of low intensity and non-coherent light [26]. This synchronized biphotonic process transforms VIS-to-UV light which includes a bimolecular system and the association of multistep photochemical events

(Scheme S1 in the Supporting information). A variety of organic dyes and metal complexes showing TTA-UC can be found in the literature [27]. This methodology has been successfully applied in diverse scientific areas such as displays [28], bioimaging [29], phototherapy [30] or integrated TTA-UC solar cells [31], constituting nowadays a highly active area of research.

Among protocols using UVA light source[32], some recent examples have revealed that two-photon processes are suitable techniques for the activation of aryl halides (Br, Cl) using VIS [33-38]. In particular, the photogeneration of radical anions of these aryl halides leading to the reduced species has been elegantly demonstrated by the observation of a delayed fluorescence (higher energy) afforded by TTA (lower energy) [33,34]. In this context, the development of TTA-UC technology for addressing critical bond activations (e.g. hitherto unreactive aryl halides) by single electron transfer (SET) for organic synthesis may be advantageous in terms of mild reaction conditions (lower-energy VIS, room temperature and ambient pressure), metal-free photocatalyst systems [39-43] and no additives (sacrificial donors/acceptors) in the medium. With all this in mind, we report herein, as a proof of concept, a new approach into the widely studied C-C forming bond reaction by using TTA-UC technology (Fig. 1A). As far as we know, the feasibility of these cascade processes (visible light + TTA-UC + SET + Activation + Radical intermediate + C-C Coupling) to be applied in synthetic reactions such as C-C forming bonds remains still unexplored

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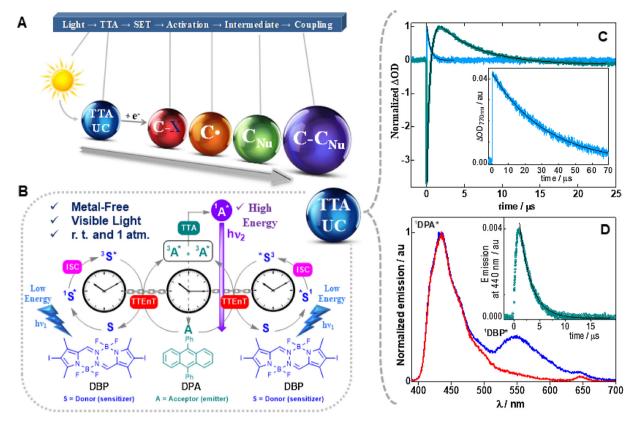


Fig. 1. A: Conceptual scheme: Cascade processes involving TTA-UC, single ET and reductive activation of hitherto unreactive aryl halide for C–C coupling reaction with a nucleophile-C. B: Schematic illustration of the photochemical events associated to the TTA-UC technology; conversion of low energy into high energy ($h\nu_1 = 2.5 \text{ eV} \rightarrow h\nu_2 = 3.1 \text{ eV}$). ISC = intersystem crossing, TTEnT = triplet-triplet energy transfer, TTA = triplet-triplet annihilation. Spectroscopic evidences for the synchronized biphotonic process of DBP/DPA couple. C: Decay kinetics monitored at 770 nm (blue) and 430 nm (green) after 485 nm LFP of DBP (0.05 mM) in N₂/DMF solution in the presence of DPA (1 mM). Inset: decay kinetic monitored at 770 nm (black) after 485 nm LFP of DBP (0.05 mM) in N₂/DMF solution. D: Emission spectra ($\lambda_{\text{exc}} = 485 \text{ nm}$) of a mixture of DBP (0.05 mM) and DPA (1 mM) in N₂/DMF recorded at 14 ns (blue) and 50 ns (red) after the laser pulse. Inset: Kinetic decay of the delayed $^1\text{DPA}^*$ at 440 nm. The black lines indicate the goodness of the lifetime measurement fitting. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

and deserves further investigation. To test this concept, 4-bromoace-tophenone (1) and *N*-methyl pyrrole (2) were chosen as reaction partners using diiodoBOPHY-like derivative (**DBP**) and 9,10-diphenylanthracene (**DPA**) as the metal-free TTA-UC system (Fig. 1B).

2. Main methods

2.1. General procedure for the C-C coupling photoreactions

In a quartz cuvette (4 mL) with a magnetic stirring bar, an ACN (2.250 mL) + DMF (0.750 mL) solution of aryl halide $(30 \, \mu\text{mol}, \, 0.01 \, \text{M}, \,$ 1.0 equiv.), *N*-methyl pyrrole **2** (213 μL, 24 mmol, 0.8 M, 80 equiv.), **DBP** (100 μ g, 0.3 μ mol, 0.0001 M, 0.01 equiv.), **DPA** (1 mg, 3 μ mol, 0.001 M, 0.1 equiv.) and 1-dodecanenitrile (6.5 µL, 0.01 M, 1 equiv.) was prepared. The cuvette was sealed with a septum and placed in a water cooling holder in order to keep a constant temperature around 20 °C (Fig. S8 in the Supporting information). The mixture was first purged with a nitrogen gas flux for 10 min, maintaining subsequently nitrogen atmosphere during the photolysis. Then, 2 h irradiation of the reaction was performed with an external diode laser pointer $(\lambda_{exc} = 445 \,\text{nm} \pm 10)$ through one face of the cuvette. The reaction progress was monitored by GC analysis. For isolation purposes, water (10 mL) was added and the aqueous phase was extracted with ethyl acetate (3 \times 10 mL). The combined organic phases were washed with brine (10 mL), dried over magnesium sulfate, filtered from the drying agent and concentrated in vacuum. The crude product was purified via column chromatography on a silica gel column using a pentane/ethyl acetate mixture as the mobile phase.

2.2. Laser flash photolysis

Measurements were carried out with a LP980 from Edinburgh Instruments. The pump source is an optical parametric oscillator (OPO) provided with an UV extension NT242 pumped by the third Harmonic of a Nd:YAG laser model NT342A-10 from EKSPLA with typical pulse duration of 5 ns. The wavelength can be set from 210 nm to about 2600 nm, with a pulse width of about 5 nm using an OPO mod. The repetition rate was 10 Hz. The white probe light is generated by a pulsed xenon flash lamp (150 W) and passes the sample orthogonal to the pump beam. The duration of the probe pulse is 250 µs. This probe pulse is longer than the recorded time window of a measurement. A monochromator (TMS302-A, grating 150 lines/mm) disperses the probe light after it passed the sample. The probe light is then passed on to a PMT detector (Hamamatsu Photonics) to obtain the temporal resolved picture. The time resolution in each window is about 10% of the temporal window width. All components are controlled by the software L900 provided by Edinburgh.

3. Results and discussion

3.1. Suitability of the TTA-UC system

The design and synthesis of bis(difluoroboron)-1,2-bis((1H-pyrrol-2-yl)-methylene)hydrazines (BOPHYs) have been very recently established [44] and the number of reports with real applications has increased over the last two years [45–49]. Encouraged by this progressive evolution, we checked out the possibility of using a metal-free TTA-UC

system which included **DBP** as donor partner for the C–C coupling reaction (see synthesis details in the Supporting information). The presence of heavy atoms on the **DBP** core dropped heavily the fluorescence quantum yield ($\phi_F = 0.17$) [46], thus favoring the intersystem crossing to the triplets. In fact, **DBP** was used as photosensitizer for TTA-UC using **DPA** as emitter [45]; however this **DBP/DPA** system had not been applied for synthetic purposes. Furthermore, according to the absorption spectra (Fig. S1 in the Supporting information), photolysis in the blue region (450–490 nm) allowed exclusive excitation of **DBP** in the **DBP/DPA** couple that made it an appropriate choice for this work.

We started our investigations exciting selectively ($\lambda_{exc}=485 \text{ nm}$) a solution of **DBP** in deaerated *N,N*-dimethylformamide (DMF) by laser flash photolysis (LFP) in the μs domain. The T–T absorption band of **DBP** (3 **DBP** *) was observed at 770 nm (Fig. S3 in the Supporting information) in agreement with literature data [45]. In our conditions, the triplet lifetime (τ_T) of **DBP** was determined as 32 μs that fitted perfectly to a mono-exponential curve (inset in Fig. 1C).

In the presence of **DPA**, the ${}^3\text{DBP}{}^*$ decay $(\tau_T = 0.4\,\mu\text{s})$ was concomitant with the generation of the **DPA** triplet, ${}^3\text{DPA}{}^*$ $(\tau_{growth} = 0.4\,\mu\text{s})$, with a bimolecular quenching constant larger than $10^9~\text{M}^{-1}~\text{s}^{-1}$ indicating that the triplet-triplet energy transfer (TTEnT) was in fact very efficient (Fig. 1C). From a mono-exponential fit of the ${}^3\text{DPA}{}^*$ kinetic decay (Fig. 1C), its lifetime was estimated as $\approx 5\,\mu\text{s}$.

To observe the resultant formation of the DPA delayed fluorescence (1DPA*) in our conditions, a deaerated DMF solution of a mixture of DBP/DPA was submitted to LFP with an excitation of 485 nm. Thus, the upconverted 1DPA* was detected displaying an emission band with maximum wavelength at 440 nm (Fig. 1D) with a singlet excited state energy of 71.5 kcal mol⁻¹ in DMF [34]. The **DBP** emission between $\lambda = 500-600 \, \text{nm}$ was also observed with the incident laser pulse (Fig. 1D). Gratifyingly, the lifetime of the upconverted ¹**DPA*** was calculated from a mono-exponential fit of the temporal profile at 440 nm which was found to be 2.3 µs (inset in Fig. 1D). By definition [50], a P-type delayed fluorescence relies on the TTA event where two triplets (i.e., ³DPA* + ³DPA*) collide to populate the delayed emission (i.e. ¹**DPA***). Consequently, the ¹**DPA*** lifetime must be approximately half that of its precursor (3DPA*) to ensure that the overall process is biphotonic. Taking into account these data, we clearly proved that the couple DBP/DPA fulfilled all of the abovementioned criteria. Furthermore, density functional theory computational studies were performed for rationalization of the TTA-UC pathways and their involved electronic configurations and transitions (Scheme S2 in the Supporting information).

3.2. Coupling reaction catalyzed by TTA-UC system

Once DBP/DPA was established as suitable TTA partners generating the high energetic $^1DPA^*$ from lower energy light (VIS), the next step was to investigate its application to a C–C forming bond reaction. As stated above, we set out a model system which involved a non-activated aryl bromide 1 and a trapping agent such as 2 (this compound possesses high reaction rates in the adjunction of radicals) [35,38]. In addition, photolysis of a mixture of 1 and 2 in the presence of DBP/DPA as photocatalytic system was performed with a commercially available blue laser pointer ($\lambda_{\rm exc}=445\,{\rm nm}\,\pm\,10,\,2\,{\rm W})$ that facilitated the management of the optimal conditions instead of using a pulsed laser from LFP technique [33,34].

In a first stage, steady-state blue light irradiation of a N_2/DMF mixture of 1 and 2 in the presence of catalytic amounts of DBP/DPA through quartz was carried out. Desired coupled product (3) together with the photoreduced byproduct (4) were formed with moderate starting material conversion and low yield (Table 1, entry 1). Although 4 was clearly the major photoproduct (DMF is a very good H-donor) [51], a reasonable amount of 3 was obtained, confirming the feasibility of the method. Control experiments were performed without DPA or without DBP (Table 1, entries 2 and 3) giving negative outcomes.

Table 1
Photocatalytic C—C coupling between 1 and 2 by DBP/DPA as TTA system.^a

Entry	Solvent	Conv/%b	3/4 ^b	Yield of 3/%b
1	DMF	62°	31/69	16
2^{d}	DMF	0	0/0	_
3 ^e	DMF	0	0/0	_
4	ACN	49 ^f	100/0	30
5	ACN/DMF 2/1 v/v	67	62/38	41
6	ACN/DMF 3/1 v/v	72	75/25	54
7 ^g	ACN/DMF 3/1 v/v	74	77/23	54
8 ^h	ACN/DMF 3/1 v/v	70	85/15	60 ⁱ
9 ^j	ACN/DMF 3/1 v/v	72	80/20	57
10^{k}	ACN/DMF 3/1 v/v	62	53/47	32
11 ^h	DMA	100	40/60	40
12	ACN/DMA 3/1 v/v	92	78/22	71^{1}

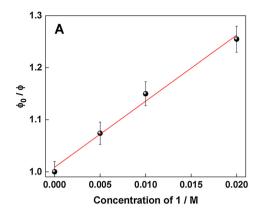
- a Conditions: [1] = 0.01 M, [2] = 0.5 M, [DBP] = 0.1 mM, [DPA] = 1 mM in 3 ml of bubbled (N₂) solvent at 20 °C; 2 h of irradiation time with a blue laser pointer (λ_{exc} = 445 \pm 10 nm, 2 W).
- ^b Conversion (conv), product distribution and yield of product 3 ([conv x selectivity of 3%]/mass balance) were calculated from quantitative GC analysis vs. internal 1-dodecanenitrile. Mass balances were 100% in all cases unless otherwise indicated.
 - c 85% mass balance.
 - ^d Without **DPA**.
 - e Without DBP.
 - f 63% mass balance.
 - g 4 h of irradiation time.
 - h [2] = 0.8 M.
 - i Isolated yield 41%.
 - j [2] = 0.6 M.
 - k [2] = 0.25 M.
 - ¹ Isolated yield 38%.

Employment of acetonitrile (ACN) drastically shifted the 3/4 ratio to the C–C forming bond reaction (Table 1, entry 4); however, conversion was found to be poor under the same conditions.

Aiming for the best compromise between conversion product distribution and yield of **3**, mixtures of ACN/DMF as solvent were used (Table **1**, entries 5 and 6). Interestingly, a high conversion together with notable 75% selectivity to **3** and good yield were obtained when the ACN/DMF ratio was 3:1 v/v after only 2 h of irradiation time (Table **1**, entry 6). Longer irradiation times did improve very slightly the result (Table **1**, entry 7) whereas the presence of different amounts of **2** clearly gave changes on the product distribution (Table **1**, entries 8–10); as expected, the higher equivalents of **2** the better yields of **3**. With the occurrence of decreasing the production of by-product **4**, we employed *N*,*N*-dimethylacetamide (DMA) as solvent (N–C(O)CH₃ group appears to be less acid than N–CHO group). Although conversion of **1** improved (Table **1**, entries 11 and 12), similar selectivity and amounts of isolated coupling product were obtained.

3.3. Mechanism

To evaluate the nature of the excited state from the TTA-UC system involved in the SET process, quenching experiments were carried out by means of LFP under reaction conditions (Fig. S4 in the Supporting information). Hence, delayed fluorescence intensity of **DPA** gradually decreased upon addition of increasing amounts of 1 (normalized spectra on **DBP** emission regarding control experiment, Table 1 entry 2). Fig. 2A shows the Stern–Volmer correlation [52] (equations S1 and S2 in the Supporting information) where K_{SV} was obtained as 12.8 M^{-1} . Considering this value and the **DPA** singlet lifetime ($\tau_F = 6.5$ ns in



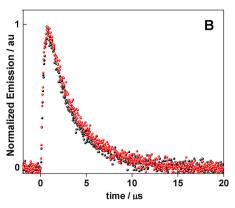


Fig. 2. Activation of target compound 1 by delayed $^1\mathrm{DPA}^*$. **A:** Stern-Volmer plot to obtain k_q (S₁); experimental errors were lower than 2% of the obtained values. **B:** Kinetic decays of the delayed $^1\mathrm{DPA}^*$ at 440 nm without 1 (black) and with 5 mM of 1 (red). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

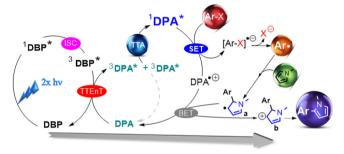


Fig. 3. Proposed photocatalytic mechanism of the C—C coupling reaction between aryl halides and *N*-methyl pyrrole. Cascade processes involving: ISC (intersystem crossing), TTEnT (triplet-triplet energy transfer), TTA (triplet-triplet annihilation), SET (single electron transfer), C—C bond formation and BET (back electron transfer).

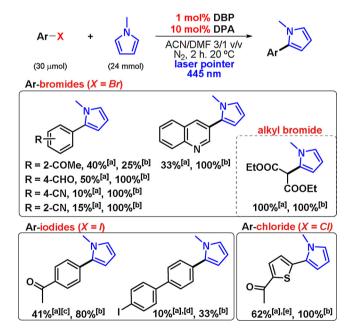


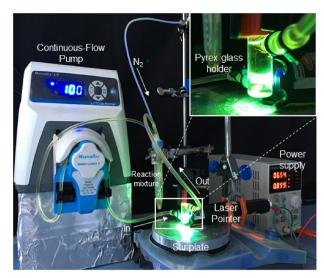
Fig. 4. Other examples of C–C coupling photoreaction using DBP/DPA as photocatalysts. Reaction conditions: aryl bromide (10^{-2} M), *N*-methyl pyrrole (0.8 M), **DBP** (10^{-4} M) and **DPA** (10^{-3} M), 3 ml of ACN/DMF 3/1 v/v using a blue laser pointer (445 nm \pm 10) under nitrogen atmosphere during 2 h. ^[a] conversion; ^[b] selectivity; ^[c] from 4-iodoacetophenone; ^[d] from 4,4'-diiodobiphenyl [0.007 M], ACN/DMF 1/1 v/v, 67% selectivity of 4-iodo-1,1'-biphenyl; ^[e] from 2-acetyl-5-chlorothiophene.

ACN/DMF 3/1 v/v) that was experimentally obtained (Fig. S5 in the Supporting information), the fluorescence quenching rate constant, $k_q(S_1)$, was found to be 1.9×10^9 M⁻¹ s⁻¹. From these data, 1

quenches the delayed ¹DPA* at a nearly diffusion controlled rate.

Deactivation of ¹DPA* under preparative irradiation conditions ([1] = 0.01 M) (equation S3 in the Supporting information) relies on the relative contribution of the different pathways which includes fluorescence, fluorescence quenching and intersystem crossing. The fluorescence (k_{F}) and intersystem crossing (k_{ISC}) rate constants were $k_F=1.1\times 10^8~s^{-1}$ and $k_{ISC}=0.4\times 10^8~s^{-1},$ respectively (equations S4 and S5 in the Supporting information), taking into account the quantum yields of fluorescence ($\phi_F = 0.73$, see Figs. S2 and S6 in the Supporting information) and intersystem crossing ($\phi_{ISC} = 0.27$) [53,54], respectively. Under these conditions, quenching of the delayed ¹**DPA*** by 1 contributed 11% to the overall singlet deactivation with a significant residual fluorescence (65%) and some amount of the excited molecules (24%) intersystem crossing to the triplet state. Actually, observation of fluorescence quenching would not discard that activation of 1 could take place from the ³DPA*. Spectroscopic measurements were carried out to establish whether ³DPA* may or may not be correlated with the C-C coupling catalytic reaction. Thus, addition of 1 to the TTA system (0.1 mM DBP, 1 mM DPA in ACN/DMF 3/1 v/v, N₂, $\lambda_{\rm exc} = 485$ nm) did not affect the temporal profile of the delayed ¹**DPA*** at 440 nm (Fig. 2B). Assuming ³DPA* quenching by 1, there would have been a direct effect in the lifetime of the delayed ¹**DPA***. In other words, sensitized ³**DPA*** is the direct precursor of the delayed ¹**DPA*** and any external factor that may affect it should have a posterior consequence. These findings therefore allowed us to conclude that activation of 1 by SET occurred from the delayed ¹DPA*. Furthermore, ET thermodynamics strongly supported this analysis. Application of the Weller equation [55] (equation S6 in the Supporting information) was used for estimating the free energy changes (ΔG_{ET} in kcal mol⁻¹) associated with ET processes. The oxidation potential $(E^{\circ}_{(DPA} \cdot / DPA))$ of DPA as well as its singlet and triplet energy E*(S1 or T1) were previously reported [34,56]. Their corresponding values are 1.25 V vs. SCE, 71.5 and 41.5 kcal mol⁻¹, respectively. Taking into account the reduction potential of 1 (-1.81 V vs. SCE) [34], the ΔG_{ET} (S₁) and ΔG_{ET} (T_1) values were estimated as -0.9 kcal mol⁻¹ and +29.5 kcal mol⁻ respectively. Therefore, activation of 1 by delayed ¹DPA* would be an exergonic process, whereas the mechanism from ³DPA* is thermodynamically prohibited.

The obtained results can be rationalized as depicted in Fig. 3. The ³DBP* is generated after selective excitation to DBP plus an efficient ISC. Re-establishment of DBP takes place in the presence of DPA by fast TTEnT process giving rise to the ³DPA* that is capable of colliding with other ³DPA*. This deactivating phenomenon, named TTA, affords the formation of a delayed fluorescence ¹DPA* possessing high-energy characteristic, sufficient to activate electrophilic substrates such as 1 by SET. Once the radical ion pairs are formed, the unstable radical anion Ar-X· undergoes fast irreversible fragmentation to give anion X and the aryl radical Ar· which, suitably, can be trapped by a nucleophile agent such as 2. Oxidation of the resultant radical intermediate a occurs by an exergonic BET based on DFT calculations, (Fig. S7 in the



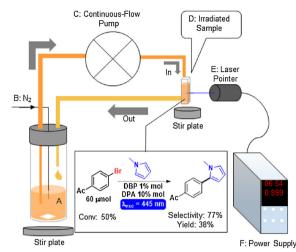


Fig. 5. Set-up of the continuous-flow photochemistry system. Photographs and schematic representation of the home-made continuous-flow photoreactor that demonstrates the technology transfer of C–C coupling reaction by means of TTA-UC.

Supporting information), restoring **DPA** (Fig. S9 in the Supporting information) and leading to cation intermediate \mathbf{b} and afterwards to the desired couple product.

3.4. Scope

A few more examples of the C–C coupling catalytic reaction by **DBP/DPA** TTA-UC system, which strongly support the proof of concept, are shown in Fig. 4. A range of substituted aryl halides gave the corresponding coupling products where their diverse reactivity would be associated with the thermodynamic and kinetic data determined by DFT methodology at the B3LYP/6-311++G(d,p) level (Table S1 in the Supporting information). Regarding the activation barriers, for instance ET vs BET, low reactivity can be a direct consequence of reversibility of the electron transfer and subsequent mesolysis. Alkylation of **2** was also applied under same conditions.

3.5. Continuous-flow device

An up-to-date overview on photochemical transformations in continuous-flow reactors has been recently reported [57]. As a matter of fact, it would be of great interest to explore the applicability of this proof of concept with continuous-flow conditions that currently no precedents can be found in literature. Thus, the C-C coupling reaction between 1 and 2 in the presence of the TTA system (DBP + DPA) with scaling-up optimal conditions was actually observed under flow settings (Fig. 5). The deaerated solution phase (A) was delivered to a Pyrex glass holder (D) by a MasterFlex® continuous-flow pump (C) at 100 rpm through a Tygon tubing (ID 1.6 mm). Constant stirring at D was achieved in order to facilitate the solution flow and minimize the possible retention time in the irradiation region. At this point, the reaction mixture was photolyzed by a blue laser pointer $(\lambda_{exc} = 445 \, nm \, \pm \, 10)$. The final exiting stream was collected again in A to evolve continuously the photoreaction. To our delight, selectivity and isolated yield of coupled photoproduct 3 was found to be very promising and we expect that advantages of photochemical syntheses observed on a small scale will be able to be exploited on a larger scale and consequently to be implemented in the industry.

4. Conclusions

In conclusion, we have proven the feasibility of photon upconversion technology based on triplet-triplet annihilation to be successfully

applied to organic synthesis such as heteroarene functionalization by a C—C coupling reaction. The approach follows an unprecedented cascade of processes that involves both photophysical (ISC, TTET, TTA) and photochemical (SET, radical trapping, C—C forming bond) events as crucial steps. Combination of spectroscopic data, product analysis and theoretical calculations support the described mechanistic scenario (Fig. 4). It is worth highlighting the advantages of this catalytic protocol, which includes very mild reaction conditions (visible light, room temperature and ambient pressure), employment of metal-free photocatalysts and no additives (sacrificial donors/acceptors) in the medium. We believe that this original methodology will make way for applications to the synthesis of fine chemical building blocks, pharmaceuticals, agrochemicals or new materials and therefore to have an immediate impact on the current state of industrial manufacture.

Competing financial interests

The authors declare no competing financial interests.

Acknowledgements

Financial support from the Spanish MINECO/FEDER (ENE2017-89170-R) and Community of Madrid (2016-T1/AMB-1275) is gratefully acknowledged. M. L. thanks the Spanish MINECO Ramón y Cajal contract (RyC-2015-18677). V.A.P.O. acknowledges support from the Centro de Supercomputación de Cataluña (CESCA). This work has also received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program (HyMAP project, grant agreement No. 648319). The results reflect only the authors' view and the Agency is not responsible for any use that may be made of the information they contained.

Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:https://doi.org/10.1016/j.apcatb.2018.05.062.

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